

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

03915 U.S. PTO

10/648089

08/26/03

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09820.286  
First Inventor Samuel H. Gellman  
Title Heterogeneous Foldamers. . .  
Express Mail Label No. EV 314813524US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 144]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$543.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_


Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 25005 (Enter Customer No. or Attach bar code label here) or ☐ Correspondence address below

|         |           |          |  |
|---------|-----------|----------|--|
| Name    |           |          |  |
|         |           |          |  |
| Address |           |          |  |
|         |           |          |  |
| City    | State     | Zip Code |  |
| Country | Telephone | Fax      |  |

|                   |   |                                   |              |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Joseph T. Leone   | Registration No. (Attorney/Agent) | 37,170       |
| Signature         |  | Date                              | 26 Aug. 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

13049 U.S. PTO  
08/26/03

PATENT APPLICATION TRANSMITTAL LETTER

Atty's Docket No.: 09820.286

TO: ASSISTANT COMMISSIONER FOR PATENTS

Enclosed is the patent application of Samuel H. Gellman, Ahlke Hayen, Margaret A. Schmitt, and Felix N. Ngassa for HETEROGENEOUS FOLDAMERS CONTAINING  $\alpha$ -,  $\beta$ -, AND/OR  $\gamma$ -AMINO ACIDS.

Enclosed are:

- ☒ 144 pages of text
- ☒ 5 sheets of drawings
- ☒ declaration and associated power of attorney, unsigned

CLAIMS AS FILED

| For                              | No. Filed | No. Extra |
|----------------------------------|-----------|-----------|
| Basic Fee                        |           |           |
| Total Claims                     | 14 - 20 = | 0         |
| Indep Claims                     | 7 - 3 =   | 4         |
| Multiple Dependent Claim Present |           |           |

SMALL ENTITY

| Rate      | Fee    |
|-----------|--------|
|           | \$375  |
| x \$9 =   | \$     |
| x \$42 =  | \$168  |
| + \$140 = | \$     |
| Total     | \$ 543 |

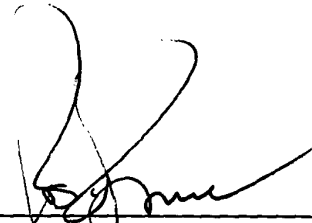
OTHER THAN A  
SMALL ENTITY

| Rate      | Fee    |
|-----------|--------|
|           | \$ 750 |
| x \$18 =  | \$     |
| x \$84 =  | \$     |
| + \$280 = | \$     |
| Total     | \$     |

\* If the difference in col. 1 is less than zero, enter "0" in col. 2.

- ☒ A check for \$543 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2055.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.

Establishment of a filing date and assignment of a serial number under 37 C.F.R. §1.53 is requested.

  
Joseph T. Leone, Reg. No. 37,170  
DEWITT ROSS & STEVENS S.C.  
US Bank Building  
8000 Excelsior Drive, Suite 401  
Madison, Wisconsin 53717-1914  
Telephone: (608) 831-2100  
Facsimile: (608) 831-2106

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail - Post Office to Addressee, in an envelope addressed to:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV314813524US

Date of Deposit: 8-26-03

Signature: Maura Layton